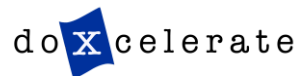


Compliance and Certification Management System (CCMS)

Step-by-Step Guide



The following steps apply to users of the system:

- Register for a user account via [New User Registration](#)
- If applicable, authorize any third party organization submitting on your behalf via the appropriate [Authorization Form for Third Party Representation](#)
- Download the appropriate CCMS-approved Certification Report template via the CCMS Website – [Product Templates](#)
- Once the report is completed and the overall status displays as **OK**, login to **CCMS** and upload the report via **New Submission**
- Report all existing and new models, discontinuations and corrections via CCMS

- All first-time users must first register then request login access to CCMS.
- **Manufacturers** and **Third-party Representatives** can select the appropriate form from [Registration and Authorization Forms](#).

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eeCompass

Compliance Certification Management System (CCMS)

Login to CCMS

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Instructions and Manufacturer Codes for Submitting Supplemental Testing Instructions

Welcome to the Compliance Certification Management System (CCMS), managed by the DOE Appliance Standards Program.

CCMS is the on-line interface through which manufacturers of covered products and commercial equipment must electronically submit compliance and certification information to DOE. This on-line system permits manufacturers to create, submit and manage certification reports using product or equipment specific templates. All templates include the compliance statement and certification report on an easy-to-use Microsoft Excel spreadsheet. CCMS will automatically record the date and time of your submission and forward it to the appropriate office in the Building Technologies Program.

- Download and complete the appropriate form
- Submit the form to ccms.support@ee.doe.gov.
- After you receive the email confirmation that your registration form has been accepted, you will receive an email from Technical Support to set your password. If you have questions contact the CCMS Administrator –
Phone +1-505-663-1302

New User Registration

- [Consumer Products and Commercial and Industrial Equipment CCMS User Registration Form for a Manufacturer or an Importer](#)
- [Consumer Products and Commercial and Industrial Equipment CCMS User Registration Form for a Third-Party Representative](#)

Authorization Form for Third-Party Representation

- [Consumer Products and Commercial and Industrial Equipment Third-Party Authorization Form](#)

How to Submit your Forms

- Scan and e-mail a signed copy to ccms.support@ee.doe.gov

Third-Party Representation Authorization

Manufacturers requesting authorization and CCMS access for third party representation must complete, sign and email the appropriate form.

- Download and complete the appropriate form
- Submit the form to ccms.support@ee.doe.gov
- After you receive the email confirmation that your registration form has been accepted, you will receive an email from Technical Support with instructions for setting your password. If you have questions contact the CCMS Administrator –
Phone +1-505-663-1302

New User Registration

- [Consumer Products and Commercial and Industrial Equipment CCMS User Registration Form for a Manufacturer or an Importer](#)
- [Consumer Products and Commercial and Industrial Equipment CCMS User Registration Form for a Third-Party Representative](#)

Authorization Form for Third-Party Representation

- [Consumer Products and Commercial and Industrial Equipment Third-Party Authorization Form](#)

How to Submit your Forms

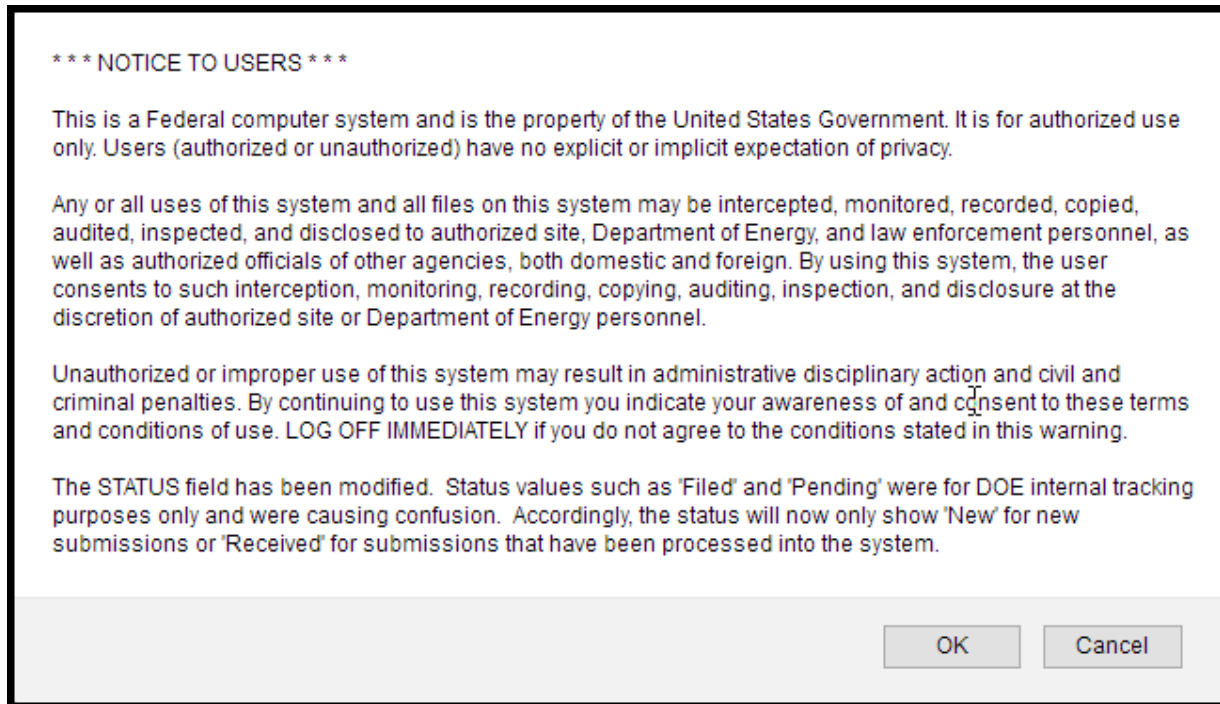
- Scan and e-mail a signed copy to ccms.support@ee.doe.gov



Once you have registered and have your Username and Password. You may **Login to CCMS**

| | |
|--|---|
| Home | <p>Welcome to the Compliance Certification Management System (CCMS), managed by the DOE Appliance Standards Program.</p> <p>CCMS is the on-line interface through which manufacturers of covered products and commercial equipment must electronically submit compliance and certification information to DOE. This on-line system permits manufacturers to create, submit and manage certification reports using product or equipment specific templates. All templates include the compliance statement and certification report on an easy-to-use Microsoft Excel spreadsheet. CCMS will automatically record the date and time of your submission and forward it to the appropriate office in the Building Technologies Program.</p> |
| eeCompass | |
| Compliance Certification Management System (CCMS) | |
| Login to CCMS | |
| Product Templates | |
| Registration and Authorization Forms | |
| Help | |
| Instructions and Manufacturer Codes for Submitting Supplemental Testing Instructions | |

- Read the Department of Energy (DOE) NOTICE TO USERS
- Click **OK** if you agree to the conditions stated in the warning



- Enter your **Username** and **Password**
- Login

CCMS Login

Please Login To Your Account

Username

Password

Log in

[Forgot Password](#) | [Change Password](#)

Download Templates

Click **Product Templates** to display a list of current templates available for download

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Download Templates

Manufacturers, including importers, must use the product-specific templates to certify compliance to the U.S. Department of Energy prior to distributing a basic model to commerce in the United States and annually thereafter. These templates must then be submitted electronically to the Department through the [Compliance Certification Management System](#).

The templates listed below are for submissions of 100 models or fewer. Templates for submissions of up to 1,000 models are available [on request](#).

The templates listed below are for models covered by current reporting requirements and test procedures. Templates for models covered by prior requirements or procedures are available [on request](#).

Instructions for completing the templates can be found here:

- [Instructions for CCMS Reporting Certification & Templates V5](#)
- [Instructions for CCMS Reporting Certification & Templates V5, Electric Motors](#)

Note: All of the information in the product-specific templates is a reflection of the certification and compliance information that is required to be submitted pursuant to Part 429. It is mandatory that you complete the compliance statement embedded in each product-template before uploading it to CCMS.

| Product Specific Certification on Regulations (10 CFR, linked to e-cfr) | CCMS Template Description and Current Version Number | Initial Certification Required By: | Annual Certification Required By (10 CFR 429.12(d))*: | Latest CCMS Template Revision Must be Used By**: |
|---|---|--|---|--|
| §429.14 | Residential Refrigerators, Refrigerator-Freezers and Freezers, v5.0 | Before Distribution in Commerce for New Basic Models | Aug 1st | Mar 5, 2018 |
| §429.15 | Room Air Conditioners, v5.0 | Before Distribution in Commerce for New Basic Models | Jul 1st | Mar 5, 2018 |

- Choose a product type from the list
- Click on the template link
- Save the Excel file to the preferred location on your local drive

Complete all necessary information on the **Certification** tab

OMB Control Number: 1910-1400 (Expiration Date: November 30, 2017) Version 5.0
 DOE F 220.80

Product Type: **Multi-Split System Central Air Conditioners and Heat Pumps** Status of This Certification Sheet **No Data**

Overall Status of Template **No Data**

[Click here for instructions for completing this form](#)

Each Importer and U.S. Manufacturer is legally required to **certify** the compliance of the products it imports, produces, assembles or manufactures. This party is the "**Certifier**" on this form. This certification may be **submitted** by the Importer or U.S. manufacturer or by a Third Party Representative. This party is the "**Submitter**" on this form.

| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|-------------------------------|----------------------------|----------------------------|----------------------------|--|----------------------------|----------------------------------|--|----------------------------|--------------|--|----------------------------|---------------|--|----------------------------|--|-------------------------------|--|----------------------------|----------------------------|--|----------------------------|----------------------------------|--|----------------------------|--------------|--|----------------------------|---------------|--|----------------------------|
| <p><u>Certifier - Party Legally Obligated to Certify Compliance</u></p> <p>The party responsible for certification is (select one only):</p> <div style="border: 1px solid black; padding: 5px; background-color: yellow;"> <input type="radio"/> a U.S. Manufacturer Please enter required data </div> <div style="border: 1px solid black; padding: 5px; background-color: yellow;"> <input type="radio"/> an Importer </div> <p>Certifier Contact Information</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">Full Legal Name of Individual</td> <td style="width: 30%; background-color: yellow;"></td> <td style="width: 40%;">Please enter required data</td> </tr> <tr> <td>Full Legal Name of Company</td> <td style="background-color: yellow;"></td> <td>Please enter required data</td> </tr> <tr> <td>Complete Company Mailing Address</td> <td style="background-color: yellow;"></td> <td>Please enter required data</td> </tr> <tr> <td>Phone Number</td> <td style="background-color: yellow;"></td> <td>Please enter required data</td> </tr> <tr> <td>Email Address</td> <td style="background-color: yellow;"></td> <td>Please enter required data</td> </tr> </table> | Full Legal Name of Individual | | Please enter required data | Full Legal Name of Company | | Please enter required data | Complete Company Mailing Address | | Please enter required data | Phone Number | | Please enter required data | Email Address | | Please enter required data | <p><u>Submitter - Party Submitting This Report</u></p> <p>The party submitting this report is (select one only):</p> <div style="border: 1px solid black; padding: 5px; background-color: yellow;"> <input type="radio"/> the Certifier (do not complete the Third Party Representative Contact Information below) Please enter required data </div> <div style="border: 1px solid black; padding: 5px; background-color: yellow;"> <input type="radio"/> a Third Party Representative (you must have valid Third Party Authorization forms on file with the Department of Energy) </div> <p>Third Party Representative Contact Information, if Applicable</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">Full Legal Name of Individual</td> <td style="width: 30%; background-color: yellow;"></td> <td style="width: 40%;">Please enter required data</td> </tr> <tr> <td>Full Legal Name of Company</td> <td style="background-color: yellow;"></td> <td>Please enter required data</td> </tr> <tr> <td>Complete Company Mailing Address</td> <td style="background-color: yellow;"></td> <td>Please enter required data</td> </tr> <tr> <td>Phone Number</td> <td style="background-color: yellow;"></td> <td>Please enter required data</td> </tr> <tr> <td>Email Address</td> <td style="background-color: yellow;"></td> <td>Please enter required data</td> </tr> </table> | Full Legal Name of Individual | | Please enter required data | Full Legal Name of Company | | Please enter required data | Complete Company Mailing Address | | Please enter required data | Phone Number | | Please enter required data | Email Address | | Please enter required data |
| Full Legal Name of Individual | | Please enter required data | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Full Legal Name of Company | | Please enter required data | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Complete Company Mailing Address | | Please enter required data | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Phone Number | | Please enter required data | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Email Address | | Please enter required data | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Full Legal Name of Individual | | Please enter required data | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Full Legal Name of Company | | Please enter required data | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Complete Company Mailing Address | | Please enter required data | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Phone Number | | Please enter required data | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Email Address | | Please enter required data | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Certification
Input
Product Group Codes
+
⋮

▶

Insert product information by clicking the **Input** tab

Multi-Split System Central Air Conditioners and Heat Pumps Version 5.0

Status of This Input Sheet: **No Data** Overall Status of Template: **No Data**

Certification Report

- Please enter your data in the columns shaded in gray below, **using a separate line for each model.**
- Click on the column heading for instructions on how to complete cells in that column.
- Cells highlighted in yellow indicate an "Error." "Error" means that information is missing or there is an issue with the entry.
- If the "Status" for a row is "Error," you can see an explanation in the columns to the right of the Status column.
- Reports submitted with errors cannot be processed and will be returned for resubmission.

[Click here for instructions for completing this form](#)

If you are resubmitting a specific combination, you must enter data for the Indoor Units and Air Movers in the exact same order as in the original submission

| Line No. | Status | Manufacturer (Outdoor Unit) | Brand Name (Outdoor Unit) | Basic Model Number (Number Unique to the Basic Model) | Individual Model Number Covered by Basic Model (Outdoor Unit) | Indoor Unit 1 | | | | Air Mover 1, If Applicable | | | Indoor Unit 2, If Applicable | |
|----------|--------|-----------------------------|---------------------------|---|---|---------------|----------|-------------------------|---------------------|----------------------------|----------|-------------------------|------------------------------|-------|
| | | | | | | Manufacturer | Brand(s) | Individual Model Number | Type, If Applicable | Manufacturer | Brand(s) | Individual Model Number | Manufacturer | Brand |
| 1 | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | |

Certification **Input** Product Group Codes + ◀ ▶

NOTE: You can copy and paste data from another source, but avoid dragging text from another source and dropping it into the form

Template

- Overall Status tells you if your form has been filled out correctly and completely. If all fields have been correctly completed, you are given an “OK” status.
- Save your file to a local drive on your computer and prepare to upload the report to CCMS

| | | | | | | | | | | | | | | | | | | | | | | | |
|--|-----------------|--|-----------|----------------------------|-----|----------------------------------|------------|--------------|--------------|---------------|-----------------|---|--|-------------------------------|--|----------------------------|--|----------------------------------|--|--------------|--|---------------|--|
| OMB Control Number: 1910-1400 (Expiration Date: November 30, 2017) DOE F 220.80 | | Version 5.0 | | | | | | | | | | | | | | | | | | | | | |
| Product Type: Multi-Split System Central Air Conditioners and Heat Pumps | | Status of This Certification Sheet | OK | | | | | | | | | | | | | | | | | | | | |
| Click here for instructions for completing this form | | Overall Status of Template | OK | | | | | | | | | | | | | | | | | | | | |
| <p>Each Importer and U.S. Manufacturer is legally required to <u>certify</u> the compliance of the products it imports, produces, assembles or manufactures. This party is the "<u>Certifier</u>" on this form. This certification may be <u>submitted</u> by the Importer or U.S. manufacturer or by a Third Party Representative. This party is the "<u>Submitter</u>" on this form.</p> | | | | | | | | | | | | | | | | | | | | | | | |
| <p><u>Certifier - Party Legally Obligated to Certify Compliance</u></p> <p>The party responsible for <u>certification</u> is (select one only):</p> <p><input checked="" type="radio"/> a U.S. Manufacturer <input type="radio"/> an Importer</p> | | <p><u>Submitter - Party Submitting This Report</u></p> <p>The party <u>submitting</u> this report is (select one only):</p> <p><input checked="" type="radio"/> the Certifier (do not complete the Third Party Representative Contact Information below) <input type="radio"/> a Third Party Representative (you must have valid Third Party Authorization forms on file with the Department of Energy)</p> | | | | | | | | | | | | | | | | | | | | | |
| <p>Certifier Contact Information</p> <table border="1"> <tr><td>Full Legal Name of Individual</td><td>John Doe</td></tr> <tr><td>Full Legal Name of Company</td><td>ABC</td></tr> <tr><td>Complete Company Mailing Address</td><td>123 Street</td></tr> <tr><td>Phone Number</td><td>555-555-5555</td></tr> <tr><td>Email Address</td><td>someone@dot.com</td></tr> </table> | | Full Legal Name of Individual | John Doe | Full Legal Name of Company | ABC | Complete Company Mailing Address | 123 Street | Phone Number | 555-555-5555 | Email Address | someone@dot.com | <p>Third Party Representative Contact Information, if Applicable</p> <table border="1"> <tr><td>Full Legal Name of Individual</td><td></td></tr> <tr><td>Full Legal Name of Company</td><td></td></tr> <tr><td>Complete Company Mailing Address</td><td></td></tr> <tr><td>Phone Number</td><td></td></tr> <tr><td>Email Address</td><td></td></tr> </table> | | Full Legal Name of Individual | | Full Legal Name of Company | | Complete Company Mailing Address | | Phone Number | | Email Address | |
| Full Legal Name of Individual | John Doe | | | | | | | | | | | | | | | | | | | | | | |
| Full Legal Name of Company | ABC | | | | | | | | | | | | | | | | | | | | | | |
| Complete Company Mailing Address | 123 Street | | | | | | | | | | | | | | | | | | | | | | |
| Phone Number | 555-555-5555 | | | | | | | | | | | | | | | | | | | | | | |
| Email Address | someone@dot.com | | | | | | | | | | | | | | | | | | | | | | |
| Full Legal Name of Individual | | | | | | | | | | | | | | | | | | | | | | | |
| Full Legal Name of Company | | | | | | | | | | | | | | | | | | | | | | | |
| Complete Company Mailing Address | | | | | | | | | | | | | | | | | | | | | | | |
| Phone Number | | | | | | | | | | | | | | | | | | | | | | | |
| Email Address | | | | | | | | | | | | | | | | | | | | | | | |

To submit a report, choose **New Submission**

The screenshot shows the top navigation bar of the CCMS application. On the left, it displays the U.S. Department of Energy logo and the text 'Energy Efficiency & Renewable Energy' and 'APPLIANCE & EQUIPMENT STANDARDS PROGRAM | CCMS'. In the center, the 'New submission' button is highlighted with a red box. To its right are the 'Submissions' dropdown menu and the user login information 'Logged in as Elizabeth'. On the far right, there is a search box labeled 'Go to Submission...'. Below the navigation bar, the main content area is titled 'RECEIVED SUBMISSIONS' and includes a 'Help' link. At the bottom of the content area, there is a link for '^ My open tickets'.

Create Submission – Submit Report

- Type in **Subject** (for example, ABC Dishwasher Report 9-9-10)
- Choose the appropriate **Correspondence Record Type** from the drop down list
- Choose the **Product Type** from the drop down list
- Browse your local drive, choose the completed CCMS approved template and click **Open** to upload
- Enter any necessary information in the **Comment** entry box

Queue: EE Compliance ()

Cc:

Subject:

Correspondence_Record_Type
Select one value

(no value)
Registration Form
Compliance/Certification Report Submission
Supplemental Testing Instructions
Other
Input must match [Mandatory]

Product_Type
Select one value

(no value)
Candelabra and Intermediate Base Incandescent Lamps
Ceiling Fan Light Kits
Ceiling Fans
Commercial Clothes Washers
Input must match [Mandatory]

Templates: Always use the [current CCMS-approved templates](#) for your product.

Attach Reports or Other Documents: Browse...

Comments:

Manufacturer
Select one value

(no value)
4J International Inc.
A&E Bath and Shower Inc.
A.O. Smith Corporation
A.O. Smith Electrical Products Company
Input must match [Mandatory]

I am aware of the provisions contained in 18 U.S.C. 1001, which prohibits knowingly making false statements to the Federal Government.

Create submission

NOTE: If you are a Third Party Representative, you would select the Manufacturer you represent from the listing above. This menu is not included –or necessary–for Manufacturers/Importers

Submission Verification

- All correctly submitted reports have a unique tracking number (#119428 in the example below)
- Retrieve and view status on your submission by choosing **Received submissions**
- Find a specific submission by entering your tracking number and clicking **Go to submission**

U.S. DEPARTMENT OF ENERGY | Energy Efficiency & Renewable Energy
APPLIANCE & EQUIPMENT STANDARDS PROGRAM | CCMS

New submission Submissions Logged in as Elizabeth

Go to Submission...

#119428: TEST Help

Submission 119428 created in queue 'EE Compliance'

The Basics Dates

Id: 119428
Status: new
Priority: 0/
Queue: EE Compliance
Correspondence Record Type: Compliance/Certification Report Submission

Created: Thu Jun 29 15:43:30 2017

Contacts for Questions

- Building Technologies Program
 - doegc32@hq.doe.gov
- CCMS Technical Support
 - Technical Support
CCMS Administrator
(505) 663-1302
ccms.support@ee.doe.gov