



Building Technologies Program

Compliance and Certification Management System

AUTHORIZATION FORM for a Third Party Representative to Submit Certification Report(s) – Consumer Products & Commercial and Industrial Equipment

The purpose of this form is to authorize a third party to prepare and submit certification reports under the provisions of 10 C.F.R. § 429. 12(g).

To complete this form:

1. Type in the information for the company executive responsible for submitting certification reports.
2. Type in the information for the third party representative – the party who will be using CCMS to submit reports (often the OEM, a trade group, or a test laboratory).
3. Print the form, then sign and date the compliance statement.
4. Form submittal method: Scan and upload a signed copy at <https://www.regulations.doe.gov/contact-us>

Part I: Manufacturer and Third Party Information; Authorization

Name of Company Executive who authorizes said third party representation (“Authorizing Executive”):

Title: _____

Company: _____

This company is a ☐ U.S. OEM
 ☐ Importer
 ☐ Foreign OEM

*For the purposes of this form OEM means Original Equipment Manufacturer that produces, builds, or assemble a covered product or equipment. For the purposes of this form Importer means the Importer of record of covered product or equipment entering the customs territory of the U.S.

Address: _____

Email Address: _____

Telephone Number: _____

Facsimile Number: _____

I, the Authorizing Executive identified above, authorize the following third party organization to submit certification reports to the U.S. Department of Energy on behalf of my company:

Third Party Organization: _____

Address: _____

Email Address: _____

Telephone Number: _____

Facsimile Number: _____

Covered Product or Equipment Type(s): _____

AUTHORIZATION FORM for a Third Party Representative to Submit Certification Report(s) – Consumer Products & Commercial and Industrial Equipment – Continued

Part II: Compliance Statement (to be completed by Authorizing Executive)

I, the Authorizing Executive identified above, certify that:

1. I am aware of the penalties associated with violations of (1) the Energy Policy and Conservation Act (Pub. L. No. 94-163), as amended by Pub. L. No. 95-619, Pub. L. No. 100-12, Pub. L. No. 100-357, and Pub. L. No. 102-486 (the Act) and the regulations thereunder, and (2) 18 U.S.C. § 1001, which prohibits knowingly making false statements to the Federal Government;
2. I am responsible for all information in the certifications report(s) submitted to DOE via CCMS by the third party organization identified above;
3. The third party organization identified above will submit to DOE via CCMS certification reports only in accordance with 10 C.F.R. Parts 429, 430, and 431 and the Energy Policy and Conservation Act, as amended;
4. The basic models listed in all certification reports submitted to DOE via CCMS by the third party organization identified above comply with the applicable conservation standard(s);
5. All required testing has been conducted in conformance with the applicable test requirements prescribed in 10 C.F.R. Parts 429, 430 and 431, as appropriate, or in accordance with the terms of an applicable test procedure waiver; and
6. All information reported in all certification report submitted to DOE via CCMS is true, accurate, and complete.

Signature: _____ Date: _____

Name (typed or printed): _____

Paperwork Reduction Act and OMB Burden Disclosure Statement

OMB Control Number: 1910-1400

Expiration: September 30, 2024

These data are being collected for manufacturers to certify compliance with DOE's energy conservation, water conservation, or design standards. The data you supply will be used by the Department to monitor compliance with the energy conservation, water conservation, and design standards and testing requirements for the consumer products and commercial and industrial equipment mandated by the Energy Policy and Conservation Act, as amended, and DOE regulations.

Under this Office of Management and Budget control number, the public reporting burden for collection of information in the certification process is estimated to average 35 hours per year, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Of this total time, DOE estimates that completion of user registration/authorization will have an average public reporting burden of 0.25 hours. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of the Chief Information Officer, Records Management Division, IM-23, Paperwork Reduction Project (1910-1400), U.S. Department of Energy, 1000 Independence Ave. SW, Washington, DC, 20585-1290; and to the Office of Management and Budget (OMB), OIRA, Paperwork Reduction Project (1910-1400), Washington, DC 20503. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB control number. Submission of this data is mandatory.